



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Not Yet Assigned

Group Art Unit

1626

Confirmation No. :

9448

Applicants

: Makoto Kobayashi et al.

Application No.

10/723,136

Filed

November 25, 2003

For

PROCESSES FOR THE PREPARATION OF

OXO-OXAZOLINE OR ALLOAMINO ACID

DERIVATIVES

New York, New York March 10, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure

Statement in the above-identified application. This

Statement is submitted before the mailing date of the first

Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge

payment of any additional fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

James F. Haley, Jr. (Reg. No. 27,794)

Stanley D. Liang (Reg. No. 43,753)

Attorneys for Applicants

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# INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants, through their representatives, make of record the following documents\*:

## Foreign Patent Documents

 Country
 Publication No.
 Publication Date

 PCT
 WO 98/08867
 March 5, 1998

 EPO
 EP 933379 Al
 August 4, 1999

 Japan
 JP 60-34955
 February 22, 1985

<sup>\*</sup> For the convenience of the Examiner, a completed Form PTO-1449, listing these documents, is attached.

# Articles

- (1) L. Williams et al., "A convenient synthesis of Lallo-threonine," Heterocycl. Commun., 2(1), pp. 55-56 (1996).
- (2) G. delle Monache et al., "An enantioselective, stereodivergent synthesis of threonine analogs,"

  Tetrahedron: Asymmetry, 8(2), pp. 231-243 (1997).
- (3) S. Kano et al., "Highly Diasteroselective Synthesis of (3R, 4R) And (3S, 4S) - $\beta$ , $\gamma$ -Diamino Acids from D-Phenylalanine," Chem. Pharm. Bull., 36, pp. 3341-3347 (1988).
- (4) S. Kano et al. "A New Facile Diastereoconversion of 2-Amino Alcohols Involving a Novel Cyclocarbamation,"

  Tet. Lett., 28, pp. 6331-6334 (1987).
- (5) S. Kano et al., "Diastereoconversion Of Threo 2-Amino Alcohols To Erythro Isomers Through A New Cyclo-carbamation," Heterocycles, 27, pp. 1241-1248 (1988).
- (6) C.-N. Hsiao et al., "Synthesis of N-(Tert-Butoxycarbonyl)-3-(4-Thiazolyl)-L-Alanine," Synth.

  Commun., 20(22), pp. 3507-3517 (1990).
- (7) T. Inui, "Selective Cleavage of Cysteine Peptides," Bull. Chem. Soc. Japan, 44, pp. 2515-2520 (1971).
- (8) T. Nishi et al., "Syntheses and Biological Activities of Renin Inhibitors Containing Statine Analogues," Chem. Pharm. Bull., 38(1), pp. 103-109 (1990).
- (9) L.A. Paquette et al., "Asymmetric Induction in the Sulfene-Enamine Condensation Reaction: The Transition

State Geometry of Such (2+2) Cycloadditions,"

Tetrahedron, 27, pp. 2599-2607 (1971).

(10) A. Saeed and D.W. Young, "Synthesis of L-ß-Hydroxyaminoacids Using Serine Hydroxymethyl-transferase," Tetrahedron, 48(12), pp. 2507-2514 (1992).

WO 98/08867 (and its English-language European counterpart application, EP 933379 A1, submitted herewith) and articles (1) and (2) listed above were cited in an International Search Report that issued in connection with International application No.

PCT/JP00/05753, from which the present application claims priority. For the Examiner's convenience, a copy of the International Search Report is attached hereto.

Applicants have not enclosed copies of these documents, except EP 933379, because they were supplied by the JPO search authority in connection with United States application No. 10/070,974, the parent application of United States application No. 10/229,819 from which this application is divided. However, if the Examiner wishes, applicants will provide copies of these documents.

Articles (3),(4) and (5) were cited in a Supplementary European Search Report in a counterpart application. For the convenience of the Examiner, a copy of the Supplementary European Search Report is attached. Applicants have not enclosed copies of these articles, nor JP 60-34955, nor articles (6), (7), (8), (9) and

(10), because they were provided in connection with United States application No. 10/070,974, the parent application of United States application No. 10/229,819 from which this application is divided. However, if the Examiner desires, applicants will provide copies of these documents.

Applicants respectfully request that these documents be (1) considered by the Examiner prior to issuance of any patent from this application; and (2) printed on any patent that may issue from this application. Applicants also request that a copy of enclosed Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

James F. Haley, Jr. (Reg. No. 27,794)

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3-15-04



PATENTS SHGN-16 DIV3

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# Express Mail mailing label number EV133110545US.

Date of Deposit March 10, 2004

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Claire J. Saintil-van Goodman

#### Enclosures:

- (1) Transmittal Letter (in dupl.);
- (2) Information Disclosure Statement;
- (3) Form PTO-1449;
- (4) Copy of patent document (EP 933379);
- (5) Copy of the International Search Report;
- (6) Copy of the Supplementary European Search Report; and
- (7) Postcard.

U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. APPLICATION NO. PATENT AND TRADEMARK OFFICE SHGN-16 DIV3 10/723,136 **APPLICANTS** CONFIRMATION NO. INFORMATION DISCLOSURE STATEMENT BY APPLICANT MAR 1 0 2004 Makoto Kobayashi et al. Not yet assigned **FILING DATE GROUP** November 25, 2003 Not yet assigned ACTENT DOCUMENTS EXAMINER DOCUMENT FILING DATE DATE NAME **CLASS SUBCLASS** INITIAL NUMBER IF APPROPRIATE FOREIGN PATENT DOCUMENTS **EXAMINER** DOCUMENT **TRANSLATION** DATE COUNTRY **CLASS SUBCLASS** INITIAL NUMBER YES NO WO 98/08867 03/05/98 **PCT** Abstract Only EP 933379 A1 08/04/99 **EPO** C07K 5/078 JP 60-34955 02/22/85 Japan C07D 263/24 Abstract Only OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** INITIAL L. Williams et al., "A convenient synthesis of L-allo-threonine," Heterocycl. Commun., 2(1), pp. 55-56 (1996).G. delle Monache et al., "An enantioselective, stereodivergent synthesis of threonine analogs," Tetrahedron: Asymmetry, 8(2), pp. 231-243 (1997). S. Kano et al., "Highly Diastereoselective Synthesis of (3R, 4R)- And (3S, 4S)-ß, y-Diamino Acids From D-Phenylalanine," Chem. Pharm. Bull., 36, pp. 3341-3347 (1988). S. Kano et al., "A New Facile Diastereoconversion of 2-Amino Alcohols Involving A Novel Cyclocarbamation," Tet. Lett., 28, pp. 6331-6334 (1987). S. Kano et al., "Diastereoconversion Of Threo 2-Amino Alcohols To Erythro Isomers Through A New Cyclocarbamation," Heterocycles, 27, pp. 1241-1248 (1988). C.-N. Hsiao et al., "Synthesis of N-(Tert-Butoxycarbonyl)-3-(4-Thiazolyl)-L-Alanine," Synth. Commun., 20(22), pp. 3507-3517 (1990). T. Inui, "Selective Cleavage of Cysteine Peptides," Bull. Chem. Soc. Japan, 44, pp. 2515-2520 (1971).T. Nishi et al., "Syntheses and Biological Activities of Renin Inhibitors Containing Statine Analogues," Chem. Pharm. Bull., 38(1), pp. 103-109 (1990). L.A. Paquette et al., "Asymmetric Induction in the Sulfene-Enamine Condensation Reaction: The

#### **EXAMINER**

# DATE CONSIDERED

A. Saeed and D.W. Young, "Synthesis of L-ß-Hydroxyaminoacids Using Serine

Hydroxymethyltransferase," Tetrahedron, 48(12), pp. 2507-2514 (1992).

Transition State Geometry of Such (2+2) Cycloadditions," Tetrahedron, 27, pp. 2599-2607 (1971).